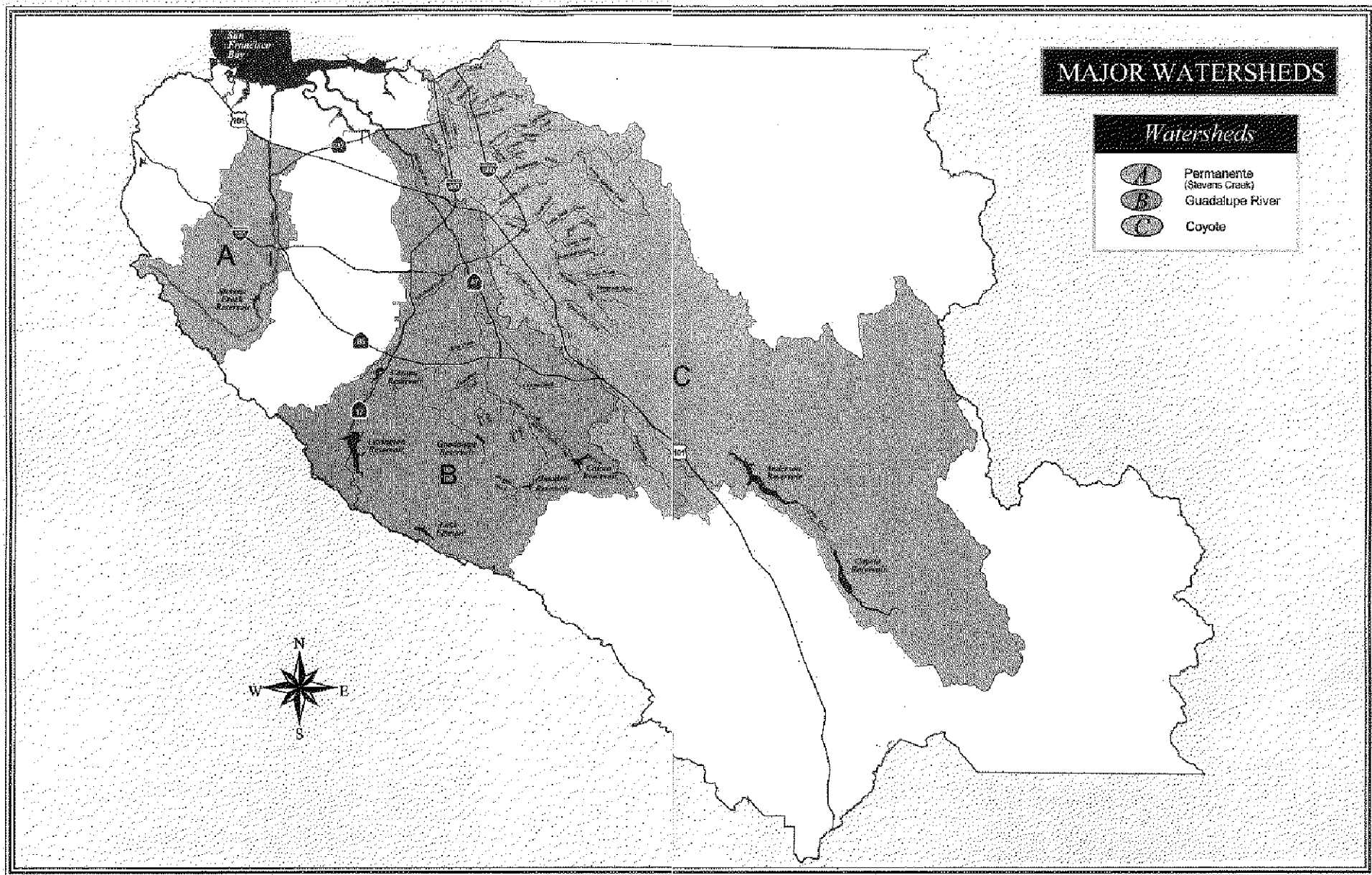
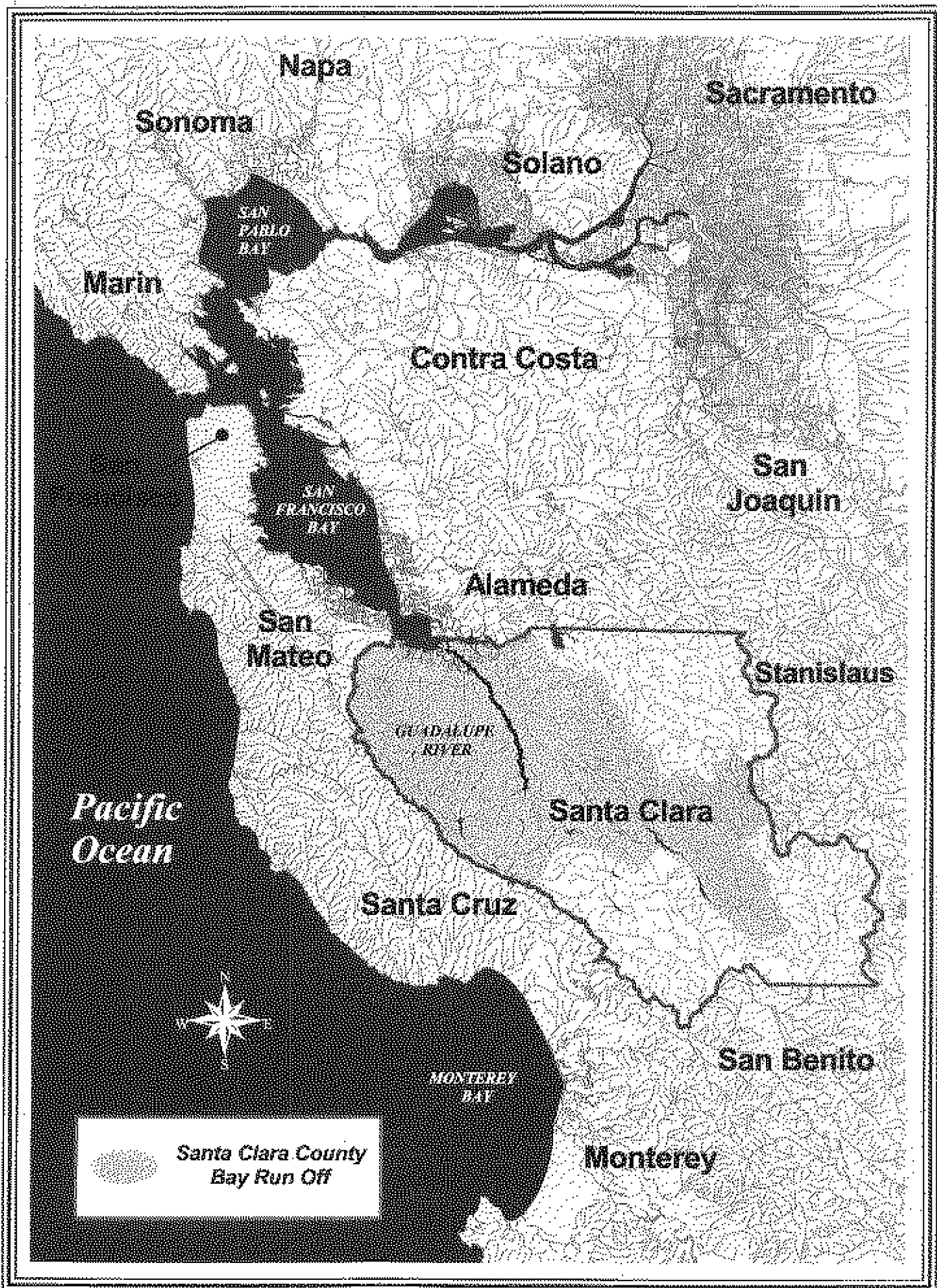


VII. COMPLIANCE WITH STANDARD TERMS AND CONDITIONS

A completed Form DI-2010 is included with this proposal. The applicant, Natural Heritage Institute, has reviewed the additional forms in Attachment E of the PSP, and has determined that it will be able to comply with all terms and conditions contained therein. Completed forms will be submitted, as appropriate, prior to receipt of any grant funds from the CALFED Bay-Delta Program.

B/n





Guadalupe River

1% FLOODPLAIN

GUADALUPE RIVER WATERSHED

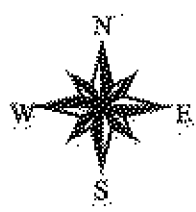
PROJECT LOCATION

GUADALUPE RIVER WATERSHED

The map shows the Guadalupe River watershed with a 1% floodplain area shaded in stippling. A rectangular box indicates the project location. A legend at the top left identifies the floodplain and watershed. A label 'PROJECT LOCATION' points to the box. A label 'GUADALUPE RIVER WATERSHED' points to the watershed boundary.

GUADALUPE RIVER
WATERSHED

GAZALI 在 12 世纪
在 伊斯兰教中



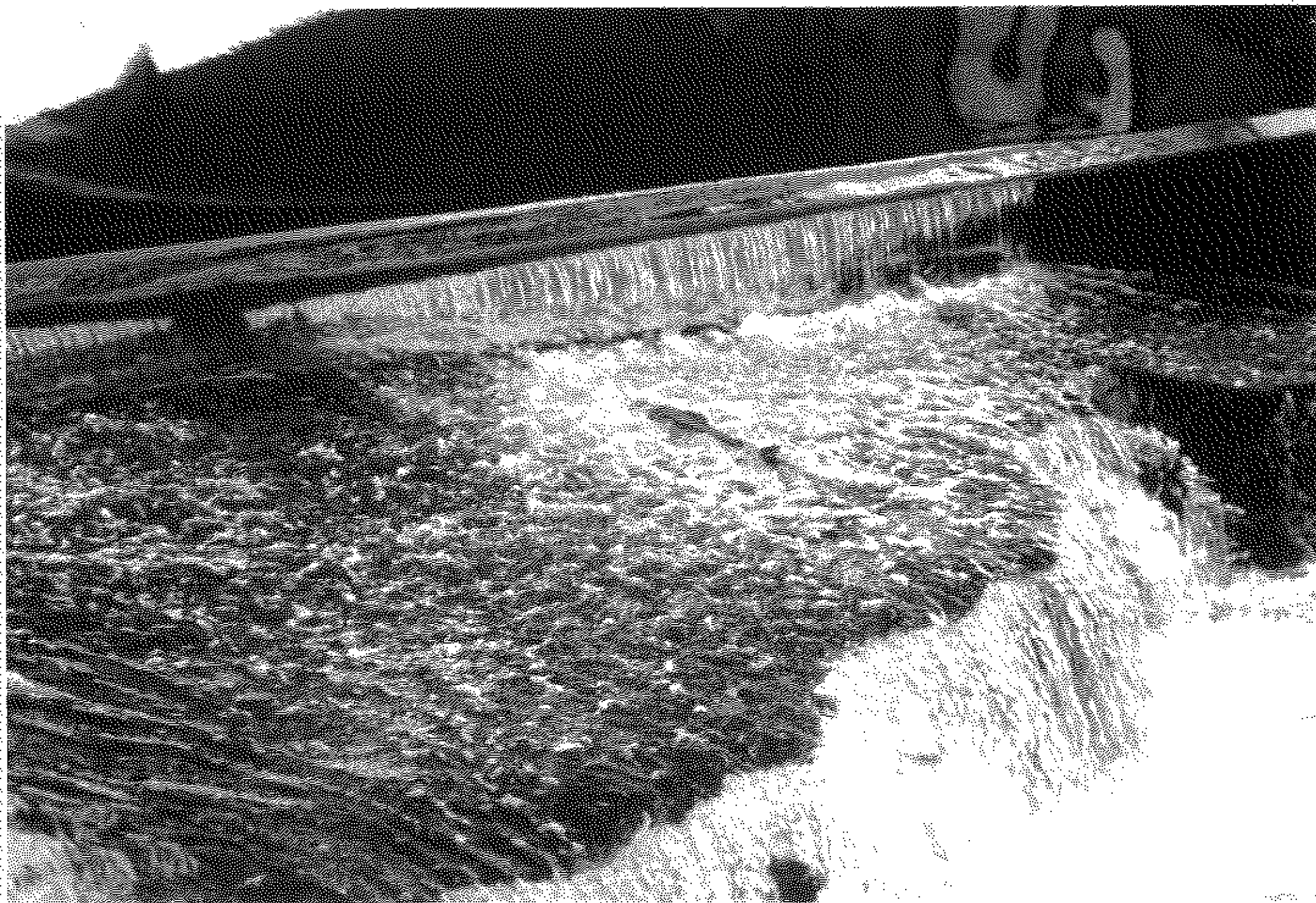


FIGURE 1
Hillsdale Avenue Weir



FIGURE 2
San Jose Water Co.

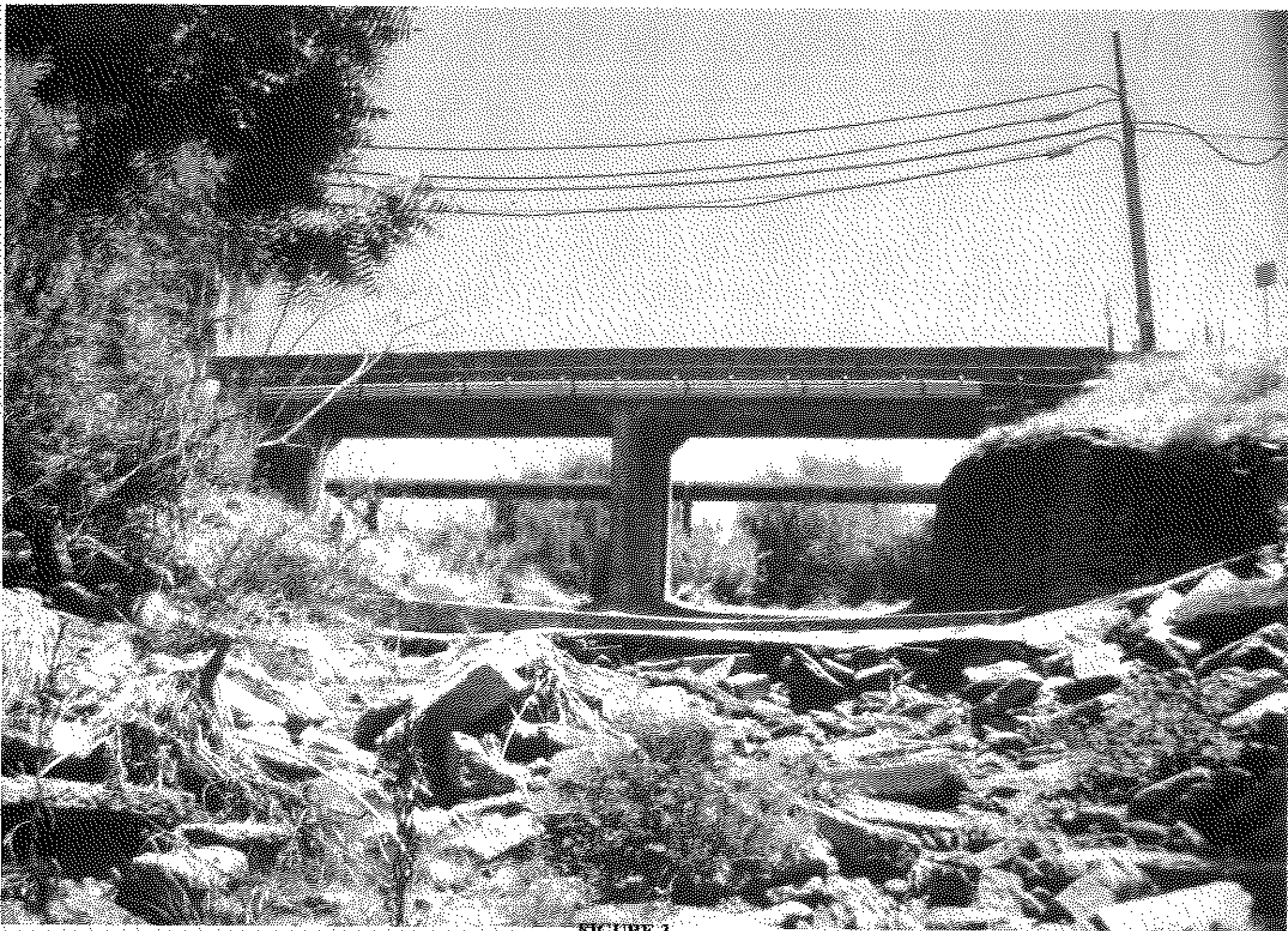


FIGURE 3
Hillsdale Avenue Weir



FIGURE 4
Hillsdale Avenue Weir

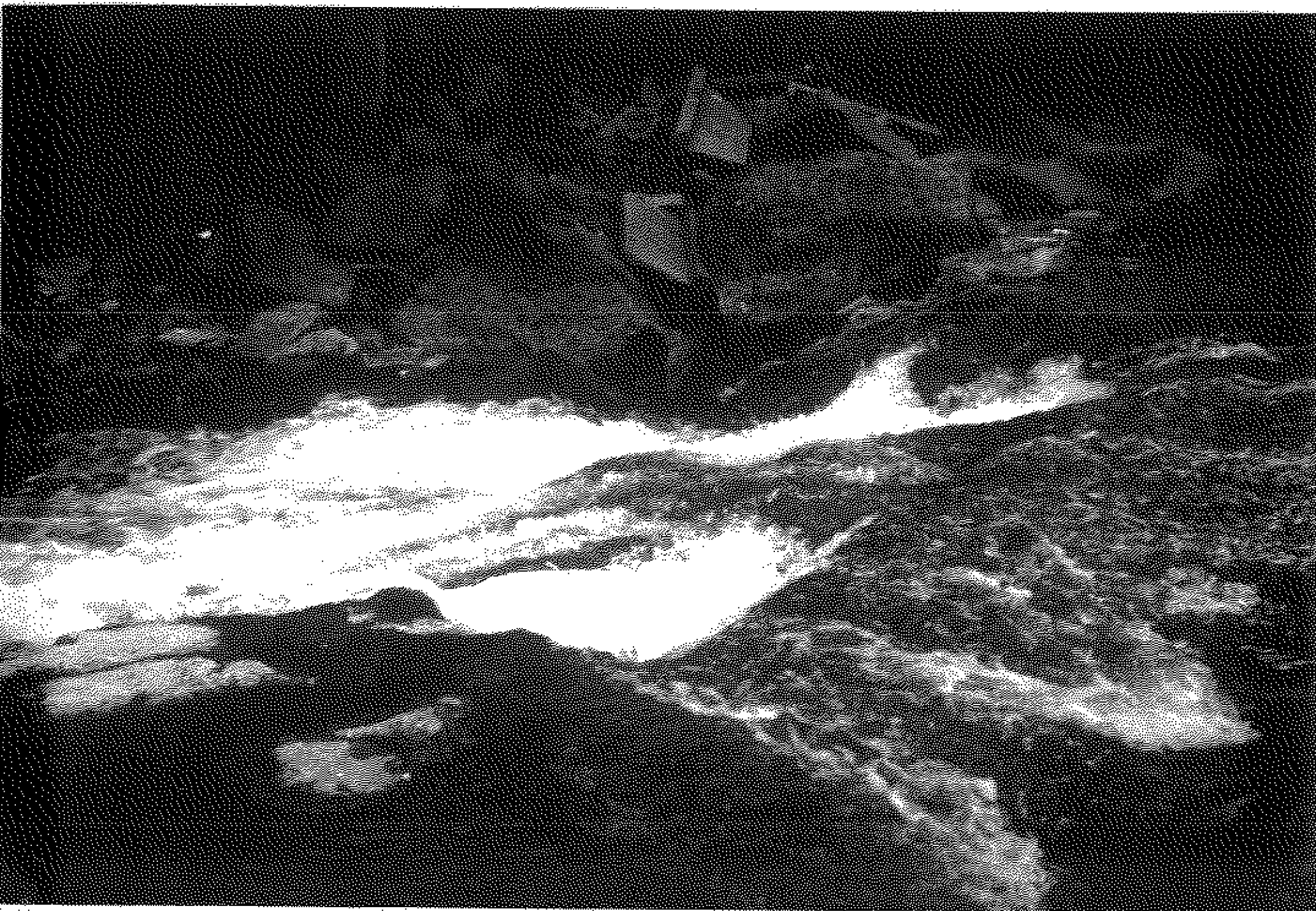
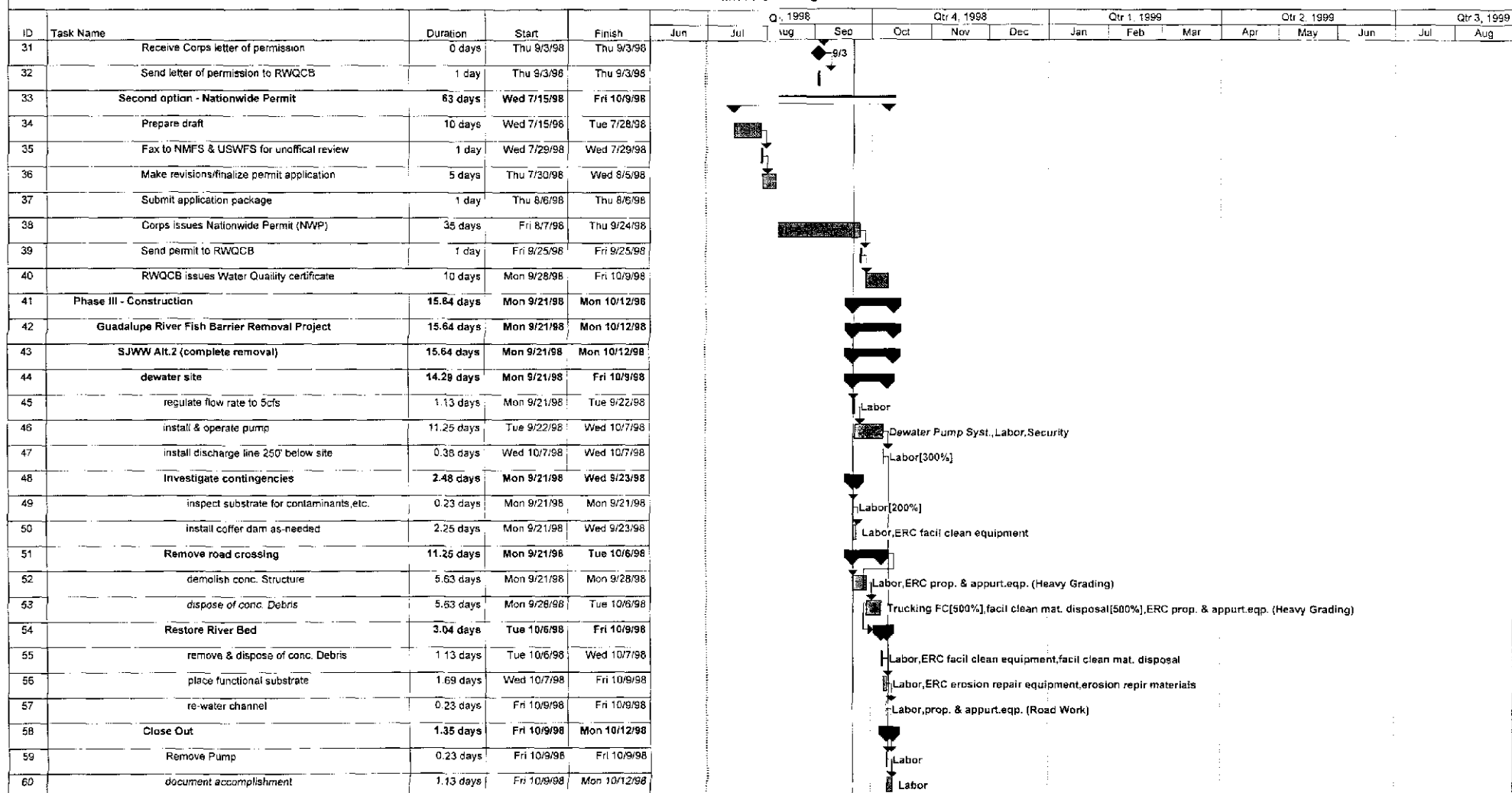


FIGURE 5
San Jose Water Co.



Sr. Project Manager: Scott Akin
Exec. Project Mgr: Melanie Tucker

Task
Split

Progress
Milestone

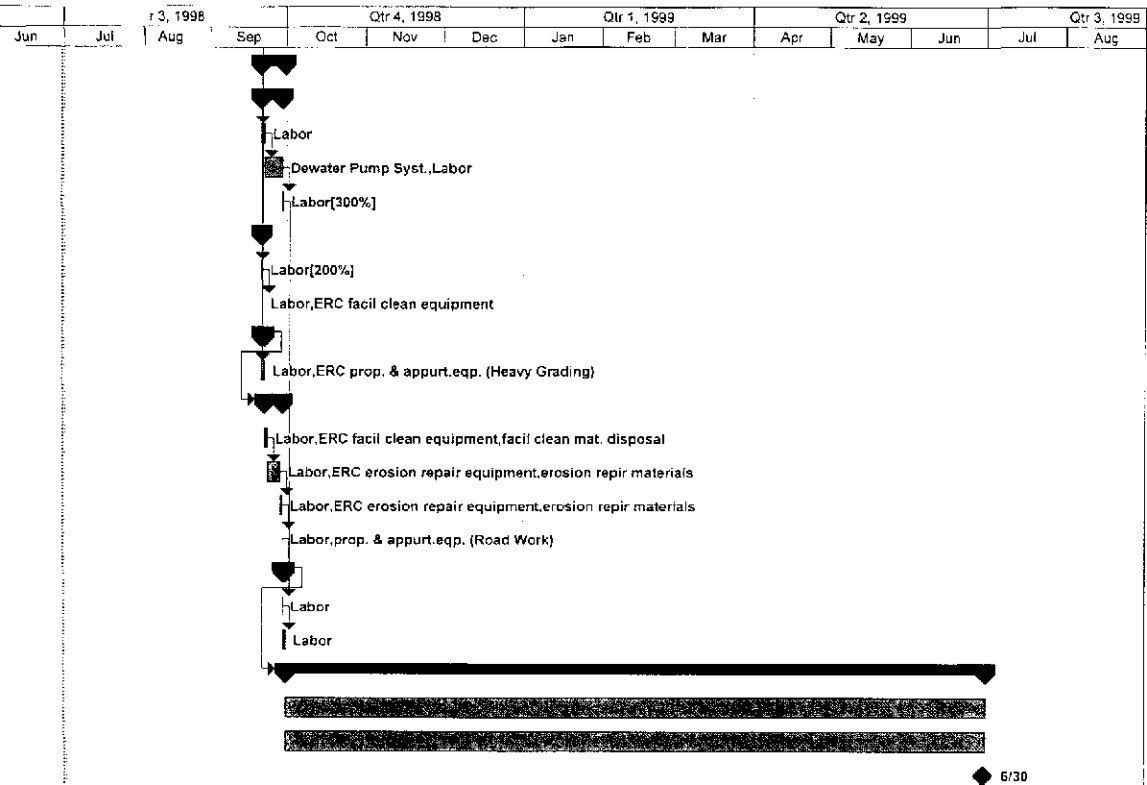
Summary
Roll Up Task

Roll Up Split
Roll Up Milestone

Roll Up Progress
External Tasks

Project Summary

ID	Task Name	Duration	Start	Finish
61	Hillsdale site	7.91 days	Mon 9/21/98	Wed 9/30/98
62	dewater site	6.56 days	Mon 9/21/98	Tue 9/29/98
63	regulate flow rate to 5cfs	1.13 days	Mon 9/21/98	Tue 9/22/98
64	install & operate pump	5.06 days	Tue 9/22/98	Tue 9/29/98
65	install discharge line 250' below site	0.38 days	Tue 9/29/98	Tue 9/29/98
66	investigate contingencies	0.79 days	Mon 9/21/98	Mon 9/21/98
67	inspect substrate for contaminants, etc.	0.23 days	Mon 9/21/98	Mon 9/21/98
68	install coffer dam as-needed	0.56 days	Mon 9/21/98	Mon 9/21/98
69	Modify Bridge Apron	1.13 days	Mon 9/21/98	Tue 9/22/98
70	demolish conc. Apron	1.13 days	Mon 9/21/98	Tue 9/22/98
71	Restore River Bed	5.29 days	Tue 9/22/98	Tue 9/29/98
72	remove & dispose of conc. Debris	1.13 days	Tue 9/22/98	Wed 9/23/98
73	install Rock Weirs (3)	3.38 days	Wed 9/23/98	Mon 9/28/98
74	place functional substrate	0.56 days	Mon 9/28/98	Tue 9/29/98
75	re-water channel	0.23 days	Tue 9/29/98	Tue 9/29/98
76	Close Out	1.35 days	Tue 9/29/98	Wed 9/30/98
77	Remove Pump	0.23 days	Tue 9/29/98	Tue 9/29/98
78	document accomplishment	1.13 days	Tue 9/29/98	Wed 9/30/98
79	Phase IV - Monitor Sites	195.09 days	Wed 9/30/98	Wed 6/30/99
80	Fish Passage	195.09 days	Wed 9/30/98	Wed 6/30/99
81	Performance	195.09 days	Wed 9/30/98	Wed 6/30/99
82	End	0 days	Wed 6/30/99	Wed 6/30/99



Sr. Project Manager: Scott Akin
Exec. Project Mgr: Melanie Tucker

Task
Split

Progress
Milestone

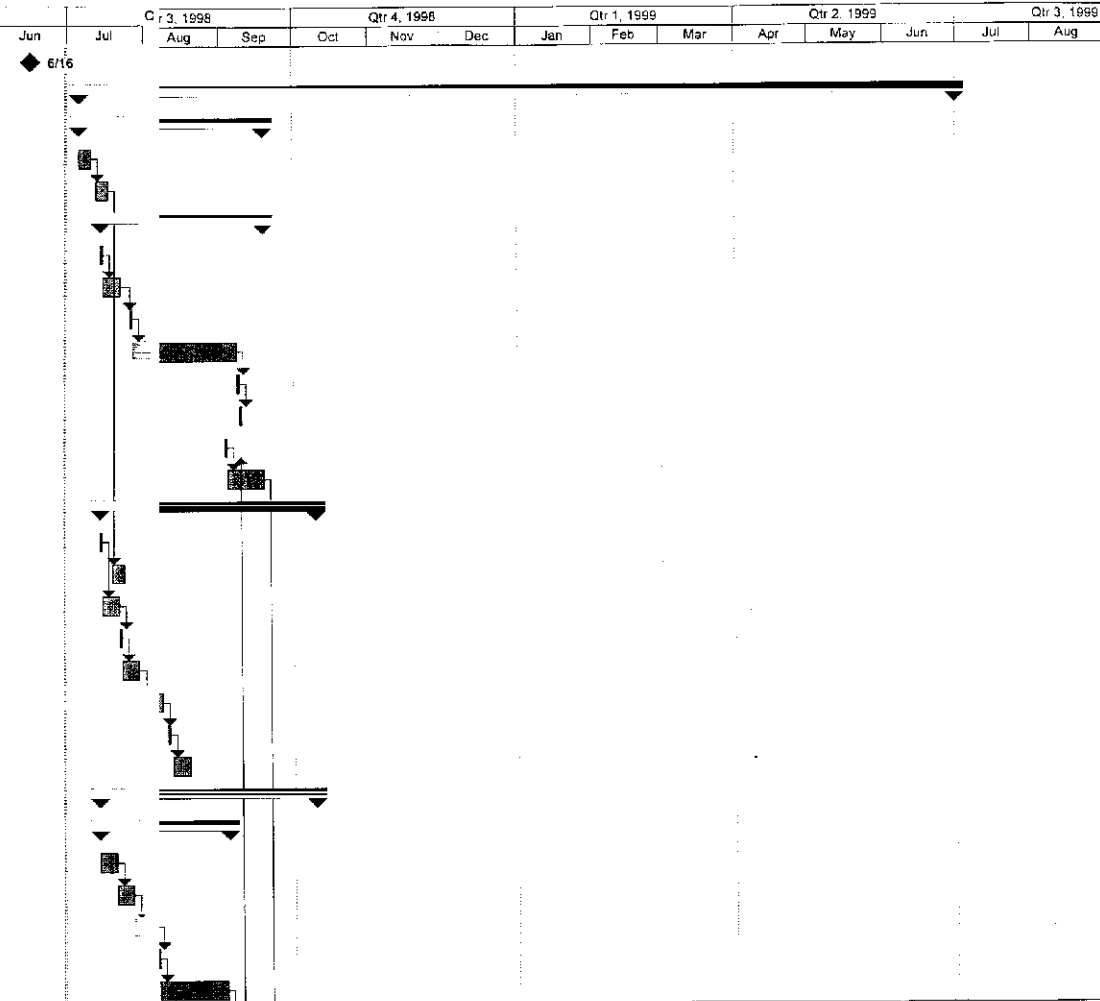
Summary
Rolled Up Task

Rolled Up Split
Rolled Up Milestone

Rolled Up Progress
External Tasks

Project Summary

ID	Task Name	Duration	Start	Finish
1	Start	0 days	Tue 6/16/98	Tue 6/16/98
2	Removal of barriers	258 days	Mon 7/6/98	Wed 6/30/99
3	Phase I - Complete Project Planning	55 days	Mon 7/6/98	Fri 9/18/98
4	Prepare project description	5 days	Mon 7/6/98	Fri 7/10/98
5	FAHCE members review project description	5 days	Mon 7/13/98	Fri 7/17/98
6	CEQA Compliance	48 days	Wed 7/15/98	Fri 9/18/98
7	Hold kick-off meeting with subconsultant	1 day	Wed 7/15/98	Wed 7/15/98
8	Prepare initial study check list, Categorical Exemption	5 days	Thu 7/16/98	Wed 7/22/98
9	File notice of Categorical Exemption with the county	1 day	Mon 7/27/98	Mon 7/27/98
10	Post notice for public review	30 days	Tue 7/28/98	Mon 9/7/98
11	End of public circulation	1 day	Tue 9/8/98	Tue 9/8/98
12	Request a waiver/certification from RWQCB	1 day	Wed 9/9/98	Wed 9/9/98
13	Send copy of Army Corps. Permit to RWQCB	1 day	Thu 9/3/98	Thu 9/3/98
14	Receive RWQCB response	11 days	Fri 9/4/98	Fri 9/18/98
15	Phase II - Permit Acquisition	63 days	Wed 7/15/98	Fri 10/9/98
16	Hold kick-off meeting with subconsultant	1 day	Wed 7/15/98	Wed 7/15/98
17	FAHCE Regulatory Agencies submit letters to Army Corps.	5 days	Mon 7/20/98	Fri 7/24/98
18	Draft DFG 1601 Streambed Alteration permit application	5 days	Thu 7/16/98	Wed 7/22/98
19	Fax permit application to DFG for unofficial review	1 day	Thu 7/23/98	Thu 7/23/98
20	Receive DFG comments	5 days	Fri 7/24/98	Thu 7/30/98
21	Make revisions/finalize permit application	5 days	Mon 8/3/98	Fri 8/7/98
22	Submit permit package	1 day	Mon 8/10/98	Mon 8/10/98
23	DFG issues permit	5 days	Wed 8/12/98	Tue 8/18/98
24	Draft Army Corps 404 permit application (2 options)	63 days	Wed 7/15/98	Fri 10/9/98
25	First option - Letter of Permission	37 days	Wed 7/15/98	Thu 9/3/98
26	Prepare draft	5 days	Wed 7/15/98	Tue 7/21/98
27	Fax to NMFS & USWFS for unofficial review	5 days	Wed 7/22/98	Tue 7/28/98
28	Make revisions/finalize permit application	5 days	Wed 7/29/98	Tue 8/4/98
29	Submit application package	1 day	Wed 8/5/98	Wed 8/5/98
30	Corps issues letter of permission	20 days	Thu 8/6/98	Wed 9/2/98

Sr. Project Manager: Scott Akin
Exec. Project Mgr: Melanie TuckerTask
SplitProgress
Milestone

Summary

Rolled Up Task

Prepared by: Joan Y. Arends
DRAFT3.MPP

Rolled Up Split

Rolled Up Milestone

Rolled Up Progress

External Tasks

Project Summary



June 30, 1998

To: Interested Parties
Re: Funding for Guadalupe River Fish Barrier Removal

On behalf of the Santa Clara Valley Audubon Society and our nearly 4000 members in the South Bay, I want to urge your consideration of this project for Category III funding. The fisheries issues on the Guadalupe River have captured the attention of a great many people in our region, and the members of my organization have followed these concerns closely for several years now.

As advocate for our chapter for the last five years, I have worked on wildlife and habitat issues on the Guadalupe River in close coordination with the Santa Clara Valley Water District (the District) and other environmental organizations. I believe this project not only represents an important milestone for the District, but additionally represents an excellent opportunity for CalFed to show the people of this region that they are connected to the larger Bay Delta ecosystem.

For the District, this project manifests a unique cooperative effort with environmentalists and regulatory agencies. The model of group discussion and decisionmaking which brought this project to the District Board for approval and then to CalFed for funding should be noted. If this atmosphere continues through the funding and permitting process, which it should, it will bring both quick, positive results for the Guadalupe and a good example for other CalFed efforts.

As I and others from our region have argued in public hearings and in previous comment letters to CalFed, the South Bay ought to be considered an integral part of the Bay Delta system and deserving of greater attention by CalFed. The remnant anadromous fisheries we still have are just one of the links between our region and the Delta proper.

Finally, I believe the funding of this project would bring important recognition to CalFed. The salmon on the Guadalupe River have received extensive media coverage and public recognition locally. If CalFed were to fund this work, the people of the South Bay would more clearly see the connection between our local efforts and the wider health of the ecosystem. In addition, they would likely become more interested in CalFed's other projects and decisionmaking.

22221 McClellan Road, Cupertino, CA 95014

Phone 408 • 252 • 3747

Fax 408 • 252 • 2850

3-31-1995 11:59PM FROM

PART C: Certification Regarding Drug-Free Workplace Requirements**CHECK IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS NOT AN INDIVIDUAL****Alternate I. (Grantees Other Than Individuals)****A. The grantee certifies that it will or continues to provide a drug-free workplace by:**

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about—
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will —
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted —
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a) (b), (c), (d), (e) and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:**Place of Performance (Street address, city, county, state, zip code)**114 Sansome Street, Suite 1200City and County of San Francisco, CA 94104Check ☐ if there are workplaces on file that are not identified here.**PART D: Certification Regarding Drug-Free Workplace Requirements****CHECK IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS AN INDIVIDUAL****Alternate II. (Grantees Who Are Individuals)**

- (a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;
- (b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to the grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

SI-2010
June 1988
(This form supersedes SI-1063, SI-1064,
SI-1065, SI-1066 and SI-1067)

PART E: Certification Regarding Lobbying
Certification for Contracts, Grants, Loans, and Cooperative Agreements

CHECK ☒ IF CERTIFICATION IS FOR THE AWARD OF ANY OF THE FOLLOWING AND THE AMOUNT EXCEEDS \$100,000: A FEDERAL GRANT OR COOPERATIVE AGREEMENT; SUBCONTRACT, OR SUBGRANT UNDER THE GRANT OR COOPERATIVE AGREEMENT.

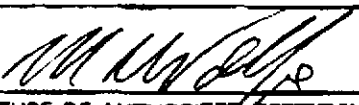
CHECK ☐ IF CERTIFICATION IS FOR THE AWARD OF A FEDERAL LOAN EXCEEDING THE AMOUNT OF \$150,000, OR A SUBGRANT OR SUBCONTRACT EXCEEDING \$100,000, UNDER THE LOAN.

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

As the authorized certifying official, I hereby certify that the above specified certifications are true.



SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

Mark R. Wolfe, Staff Attorney, Natural Heritage Institute

TYPED NAME AND TITLE

DATE June 30, 1998

Attachment E

U.S. Department of the Interior

**Certifications Regarding Debarment, Suspension and
Other Responsibility Matters, Drug-Free Workplace
Requirements and Lobbying**

Persons signing this form should refer to the regulations referenced below for complete instructions:

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions - The prospective primary participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. See below for language to be used or use this form for certification and sign. (See Appendix A of Subpart D of 43 CFR Part 12.)

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions - (See Appendix B of Subpart D of 43 CFR Part 12.)

Certification Regarding Drug-Free Workplace Requirements - Alternate I. (Grantees Other Than Individuals) and Alternate II. (Grantees Who are Individuals) - (See Appendix C of Subpart D of 43 CFR Part 12)

Signature on this form provides for compliance with certification requirements under 43 CFR Parts 12 and 18. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of the Interior determines to award the covered transaction, grant, cooperative agreement or loan.

PART A: Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions

CHECK IF THIS CERTIFICATION IS FOR A PRIMARY COVERED TRANSACTION AND IS APPLICABLE

(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
- (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

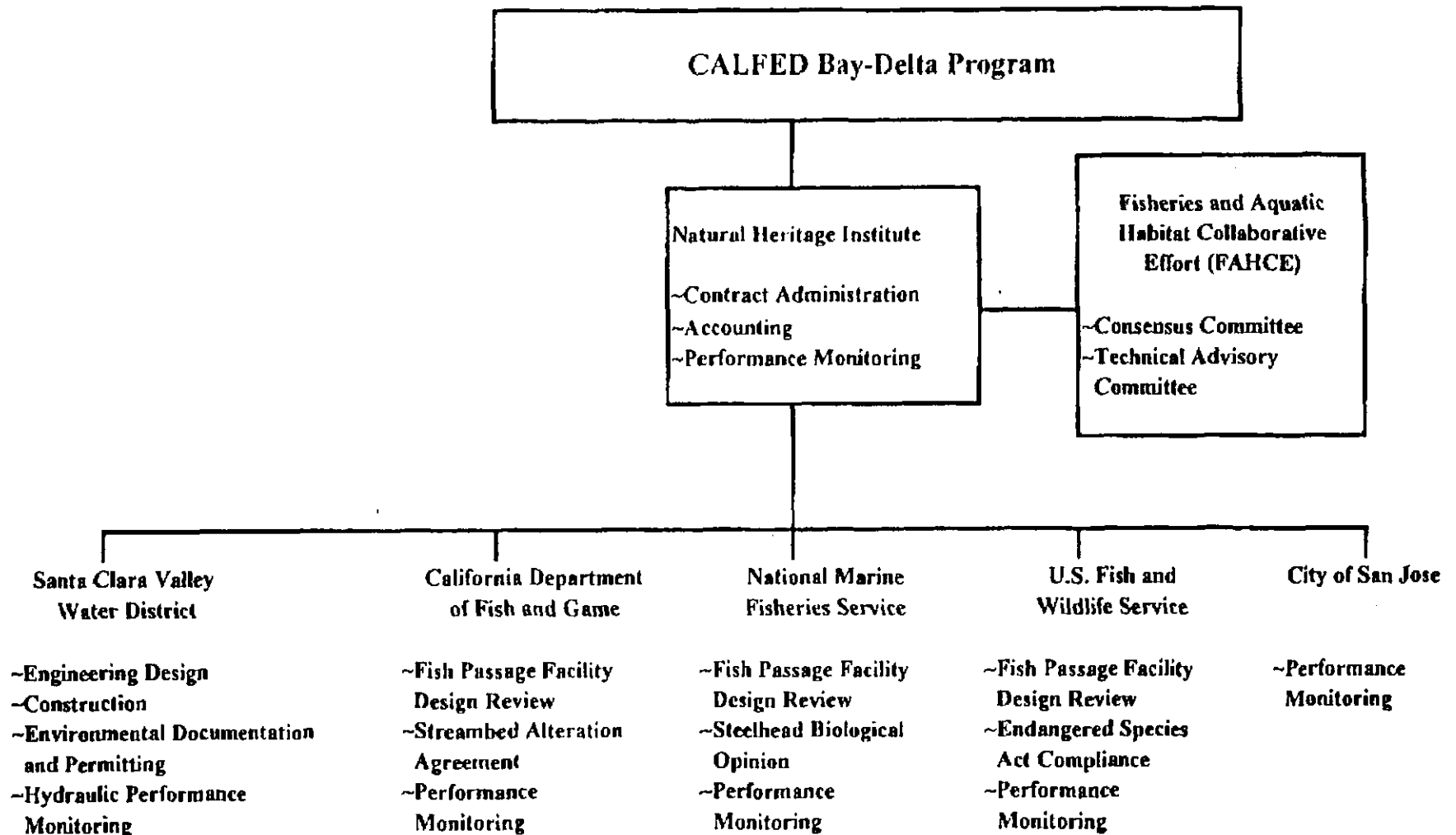
(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

PART B: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions

CHECK IF THIS CERTIFICATION IS FOR A LOWER TIER COVERED TRANSACTION AND IS APPLICABLE

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Organization of the proposed FAHCE project team.

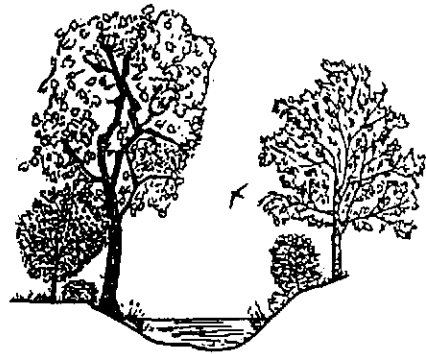


Ecosystem Element	Vision Summary
WHITE AND GREEN STURGEON	The vision for white and green sturgeon is to restore population distribution and abundance to historical levels. Restoration of these species would support a sport fishery for white sturgeon, ensure recovery of the green sturgeon population, and contribute to overall species richness and diversity and reduce conflict between the need for protection for these species and other beneficial uses of water in the Bay-Delta.
CHINOOK SALMON	The vision for Central Valley chinook salmon is to achieve naturally spawning population levels that support and maintain ocean commercial and ocean and inland recreational fisheries, and that fully use existing and restored habitats. This vision will contribute to the overall species diversity and richness of the Bay-Delta system and reduce conflict between protection for this species and other beneficial uses of water and land in the Central Valley.
STEELHEAD TROUT	The vision for Central Valley steelhead trout is to achieve naturally spawning populations of sufficient size to support inland recreational fishing and that fully use existing and restored habitat areas. Achieving this vision will primarily require restoring degraded spawning and rearing habitats, enhancing fish passage to historic habitat, and supporting angling regulations consistent with steelhead trout population recovery. This vision is consistent with restoring populations of steelhead to levels that eliminate the need for any future protection under the State and federal Endangered Species Acts (ESAs).
STRIPED BASS	The vision for striped bass is to restore populations to their 1960s level of abundance to support a sport fishery in the Bay, Delta, and tributary rivers, and to reduce the conflict between protection of striped bass and other beneficial uses of water in the Bay-Delta.
AMERICAN SHAD	The vision for American shad is to maintain a naturally spawning population that supports a sport fishery similar to the fishery that existed in the 1960s and 1970s. Achieving this vision will reduce the conflict between protection of this species and other beneficial uses of water in the Bay-Delta.
RESIDENT FISH SPECIES	The vision for resident fish species is to maintain and restore the distribution and abundance of native species, such as Sacramento blackfish, hardhead, tule perch, and Sacramento perch; and non-native species, such as white catfish, largemouth bass, and threadfin shad, to support a sport fishery and healthy nongame fish populations. Although the Sacramento perch no longer occurs in the Delta, it is included with resident native species because actions to maintain and restore other resident species populations would benefit Sacramento perch in the event they are reintroduced to the Delta.
MARINE/ESTUARINE FISHES AND LARGE INVERTEBRATES	The vision for marine/estuarine fishes is to restore populations to levels that existed in the early 1980s through restoration of habitat and aquatic foodweb, and improvements in winter-spring Delta outflow.
BAY-DELTA AQUATIC FOODWEB ORGANISMS	The vision for the Bay-Delta aquatic foodweb organisms is to restore the Bay-Delta estuary's once-productive food base of aquatic algae, organic matter, microbes, and zooplankton communities.

SANTA CLARA COUNTY
STREAMS FOR TOMORROW

Post Office Box 1409

San Martin, California 95046



June 25, 1998

Mr. Mark R. Wolfe
Natural Heritage Institute
114 Sansome Street, Suite 1200
San Francisco, CA 94104

Dear Mr. Wolfe:

Thank you for the opportunity to review your application for CALFED funding of your proposed "Steelhead and Chinook Salmon Fish Passage Barrier Remediation on Guadalupe River".

We fully support your proposed fish passage improvement project and application for CALFED funding. We urge CALFED to approve this priority funding request.

Fish passage improvement is specifically identified as a priority objective for CALFED funding. The steelhead trout and chinook salmon that will directly benefit from your project are target species for CALFED restoration efforts. Fish passage improvement, with resulting increased fish access to spawning and rearing habitats, is fully supportive of the goals and objectives of the CALFED Ecosystem Restoration Program Plan and the principles for recovery of steelhead trout - federally listed as a threatened species.

We further support your application because we strongly advocate CALFED funding for ecosystem restoration in the South Bay watersheds. South Bay is an integral component of the San Francisco Bay-Delta Estuary and, therefore, must receive equitable consideration for restoration efforts and the CALFED funding necessary to accomplish these efforts.

Bay-Delta ecosystem restoration is fundamental to the eventual success of CALFED; however, it will be accomplished only if effort and funding are directed to all components of the ecosystem, and that includes the South Bay tributaries such as the Guadalupe River.

We urge CALFED to approve this funding request.

Sincerely,

Mary Cline
Mary Cline, Chair
Executive Committee

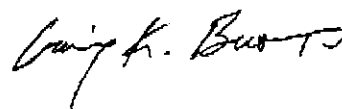
Keith R. Anderson
Keith R. Anderson
Environmental Advocate

cc: Ms. Melanie Tucker, SCVWD

In all, this project makes sense ecologically, fiscally, and in regards to the long-term relationships CalFed needs to build. This small investment in the resources of the South Bay would certainly reap substantial dividends in the future.

I would be happy to discuss this project more. I can be reached at (408) 252-3748.

Sincerely,

A handwritten signature in cursive script, appearing to read "Craig K. Breon".

Craig K. Breon
Environmental Advocate